

## **STATEMENT OF PRINCIPAL REASONS FOR ADOPTION OF RULES**

Chapters 27, 28, and 29 of the State Loan and Investment Board's rules provide for the distribution of 2008 legislative appropriations for emergency capital project grants, large capital construction projects, and investment grade energy audits for local governments. These rules are no longer utilized by the Board because the Board has already distributed the funds appropriated for these purposes. As such, the rules are no longer necessary and the Board is repealing these rules in their entirety.

**Chapter 27**

**Emergency Capital Project Grants – Individual Applications**

**REPEALED**

**~~RULES AND REGULATIONS  
STATE LOAN AND INVESTMENT BOARD~~**

**Chapter 27**

**Emergency Capital Project Grants – Individual Applications**

**REPEALED**

~~Section 1. Authority.~~

~~This chapter is adopted pursuant to Laws 2008, Chapter 48, Section 330(a).~~

~~Section 2. Definitions.~~

~~As used in this chapter:~~

~~(a) — "Board" means the State Loan and Investment Board.~~

~~(b) — "Capital Project" means the construction, replacement or improvement of a fixed asset or public service facility. Routine maintenance and repair does not constitute a capital project.~~

~~(c) — "Director" means the Director of the Office of State Lands and Investments.~~

~~(d) — "Eligible Applicant" means incorporated cities and towns, counties, special districts and joint powers boards in Wyoming.~~

~~(e) — "Eligible Project Costs" means total project cost less ineligible project costs.~~

~~(f) — "Emergency Situation" means a situation(s) that poses a direct and immediate threat to public health, safety or welfare.~~

~~(g) — "Office" means the Office of State Lands and Investments.~~

~~(h) — "Public Service Facility" means a facility owned by the applicant and available for use by the general public including: water and sewer projects, storm drainage projects, street and road projects, solid waste disposal projects, acquisition of emergency vehicles, public administration buildings, health care facilities, senior citizens centers, jail and detention facilities, facilities needed to~~

~~provide services to the disabled, costs to purchase medical equipment that generates revenue sufficient to service a Joint Powers Act Loan, as determined by the Board, and similar facilities as authorized by the Board.~~

### ~~Section 3. General Policy.~~

~~The Board shall award grants under the provisions of this chapter for emergency capital projects in such a manner and to such applicants as shall, in the judgment of the Board, inure to the greatest benefit of the citizens of the state and represent a prudent use of grant funds.~~

### ~~Section 4. Funding Availability.~~

~~Available emergency funding for capital projects statewide for this chapter is allocated pursuant to Laws 2008, Chapter 48, Section 330(a).~~

### ~~Section 5. Grant Eligibility.~~

~~(a) — Applicants. — Incorporated cities and towns, counties, special districts and joint powers boards shall be eligible to apply to the Office for grants under this chapter. If the applicant is a special district or joint powers board, it must be legally formed, approved and otherwise in compliance with statutory requirements of the Wyoming Department of Revenue prior to submitting an application to receive a grant award under this chapter. An applicant must be in compliance with all applicable reporting requirements with the Wyoming Department of Audit prior to its application being considered by the Board.~~

~~(b) — Purposes. — The Board shall provide emergency capital project grants to eligible applicants to be used only when the board finds the grant is necessary to:~~

~~(i) — Alleviate an emergency situation which poses a direct and immediate threat to public health, safety or welfare; or~~

~~(ii) — Comply with a federal or state mandate; or~~

~~(iii) — Provide an essential public service. — Essential public services include, but are not limited to capital construction, acquisition of capital equipment, public safety projects, housing related projects and road repair or construction.~~

~~(c) — Ineligible Project Costs. — The following project costs shall be ineligible for reimbursement from grant funds:~~

~~(i) — Costs for any asset that is owned or maintained by a private property owner;~~

~~(ii) — Costs for tap fees, sewer and water fees, and plant investment fees;~~

~~(iii) — Engineering fees, including design, inspection and contract administration costs, over twenty percent (20%) of project cost;~~

~~(iv) — All non-cash costs except land, labor, materials, equipment, and services provided by the applicant, and used for project purposes based on actual, appraised or market value;~~

~~(v) — Costs for preparation or presentation of grant or loan applications for any source of funding;~~

~~(vi) — Costs for transportation, meals and lodging incurred anywhere away from the site of the project;~~

~~(vii) — Costs of tools and furnishings for capital projects, including but not limited to, capital equipment, hammers, tanks, furniture, drapes and blinds not integral to and necessary for the project;~~

~~(viii) — Legal fees;~~

~~(ix) — Costs related to issuance of bonds;~~

~~(x) — Costs for real property in excess of current fair market value and/or costs for an amount of real property in excess of that needed for project purposes;~~

~~(xi) — Costs to establish and form special districts or joint powers boards;~~

~~(xii) — Costs incurred prior to grant award, except costs for architectural and engineering design or in emergency situations;~~

~~(xiii) — Costs for a contingency or extra work allowance in excess of 10% of estimated construction costs.~~

#### ~~Section 6. Application Procedure.~~

~~(a) — Applications. — Separate applications shall be prepared for each~~

~~project. Applicants shall submit four (4) copies of their completed application to the Office. Applications shall be properly executed by the officers of the applicant.~~

~~(b) — Timing. Applications for emergency consideration must be received at least twenty (20) calendar days prior to any regular or special meeting of the Board.~~

~~(c) — Information Required for All Applications. The following information shall be provided in all applications:~~

~~(i) — An application cover page on a form provided by the Office;~~

~~(ii) — A project summary on a form provided by the Office detailing the emergency situation as defined in this chapter;~~

~~(iii) — The grant amount requested by project type as specified by the Office and percentage of total project costs that the grant would pay;~~

~~(iv) — A full description of the proposed project, specifically including, but not limited to, a phased construction schedule, if applicable;~~

~~(v) — A detailed project budget broken into at least six (6) month intervals delineating all costs of the proposed project and the method by which the project costs were estimated;~~

~~(vi) — A licensed engineer's statement of the feasibility of the project, except for the purchase of emergency vehicles;~~

~~(vii) — The geographic area and population served directly and indirectly by the project and the percentage of the applicant's population directly served by the project;~~

~~(viii) — A description of other project funding sources, committed or pending, the portion of the project cost expected to be funded from each source, including any future grant applications under this chapter, and copies of funding commitments from all project funding sources, including the applicant, to demonstrate commitment to the project;~~

~~(ix) — If the applicant is a special district, a copy of the resolution that shows formation of the special district.~~

~~(x) — If the applicant is a joint powers board, a copy of the~~

~~certificate of organization filed with the Secretary of State, and a copy of an executed joint powers agreement approved by the Attorney General;~~

~~(xi) A standard resolution authorizing the filing of the application on a form provided by the Office;~~

~~(xii) A financial statement of the applicant, on a uniform "General Financial Information" form provided by the Office;~~

~~(xiii) If an applicant submits multiple applications under this chapter for consideration at one meeting, a priority ranking of the multiple applications as established by the applicant;~~

~~(xiv) If the project is needed to meet federal or state health and safety requirements, documentation of the specific requirements and an explanation of how the project addresses the requirements;~~

~~(xv) For applications submitted by a special district, either standing alone or as a member of a joint powers board, the written review as required by W.S. 9-4-604(h);~~

~~(d) Incomplete Applications. Incomplete applications shall not be presented to the Board for consideration.~~

#### ~~Section 7. Evaluation Criteria.~~

~~(a) Criteria. The Board shall evaluate applications utilizing the following criteria:~~

~~(i) The relative urgency of the project as an emergency situation as defined in this chapter;~~

~~(ii) Whether the applicant has matching funds for the project from other than state grants;~~

~~(iii) The extent of match committed to the project from all sources;~~

~~(iv) Whether the applicant has made a significant commitment of local resources;~~

~~(v) Whether the project is appropriately sized in relation to the population to be served by the project;~~

~~(vi) Whether the applicant is current on all its repayment obligations to the Board;~~

~~(vii) The extent to which the applicant is utilizing, or plans to utilize, available and qualified Wyoming based professional firms and contractors on the project;~~

~~(viii) The financial need of the applicant, as determined by the Board;~~

~~(ix) The percentage of the applicant's population directly served by the project;~~

~~(x) Reviews of the application by applicable state agencies.~~

#### ~~Section 8. Board Consideration.~~

~~The Board shall consider each application, allow for comments from the applicant and from the Director and establish the maximum amount of the grant along with applicable match requirements.~~

#### ~~Section 9. Grant Disbursement and Administration.~~

~~(a) Grant Disbursement. Grant funds for eligible project costs shall be disbursed to the applicant only as needed to discharge obligations. Requests for disbursements shall be made on a form supplied by the Office and supported by adequate proof that such obligations have been incurred for project purposes and are due and owing.~~

~~(b) The minimum grant disbursement shall be One Thousand dollars (\$1000.00) unless it is the final disbursement of grant funds for the project.~~

~~(c) Fund Reversion.~~

~~(i) If the Director determines that the project has not been commenced within one (1) year from the date the grant is approved, the grant will automatically expire. Based upon information supplied by the grantee, the Director may grant an extension of time in which to commence the project.~~

~~(ii) If the Director determines that the project has not been completed within a reasonable time, he shall notify the grantee in writing at least thirty (30) days prior to presenting the matter for Board review. The Board, upon review of the project circumstances, may terminate or modify the grant award.~~

~~(iii) If the Director determines that the project is complete, but the full amount of the grant has not been expended, the Office shall notify the~~

~~grantee of this determination. Thirty (30) days following the notification, the unused portion of the grant shall revert to uncommitted status.~~

~~Section 10. Audits and Inspections.~~

~~Each individual project is subject to requirements of this section. The Board may, at its expense, conduct an audit of the records of the applicant and inspect the construction and operation of the project.~~